Docket No.

218290US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Kouichi OHTAKA, et al.

SERIAL NO:

10/050,865

GAU:

2873

FILED:

January 18, 2002

EXAMINER: TRA, TUYEN Q

FOR:

OPTICAL MODULATOR, OPTICAL MODULATOR MANUFACTURING METHOD, LIGHT INFORMATION

PROCESSING APPARATUS INCLUDING OPTICAL MODULATOR, IMAGE FORMATION APPARATUS INCLUDING OPTICAL MODULATOR, AND IMAGE PROJECTION AND DISPLAY APPARATUS

INCLUDING OPTICAL MODULATOR

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed
references are attached, where required, as are either statements of relevancy or any readily available English
translations of pertinent portions of any non-English language references.

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the claims and drawings of the pending application(s) is attached.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Registration No. 25,599

Joseph A. Scafetta, Jr. Registration No. 26,803

Customer Number

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

I:\EM\EMREL\217s-218s\218290US TR. LETTER.DOC



LIST OF RELATED CASES

Docket Number	Serial or Patent Number	Filing or Issue Date	Inventor/ <u>Applicant</u>
218290US2*	10/050,865	01/18/02	OHTAKA et al.
251418US2	10/817,249	04/05/04	NAKANO et al.